PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No. 7,816,361	)	Serial No. 10/577,470
	)	
Inventor(s): Ian Richard Matthews	)	Filed: January 11, 2007
	)	
Issue Date: October 19, 2010	)	Attorney Docket No. 006090.0002

For: IMMUNO INHIBITORY PYRAZOLONE COMPOUNDS

#### REQUEST FOR CERTIFICATE OF CORRECTION

U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop: Certificate of Correction Branch 401 Dulany Street Alexandria, VA 22314

Sir:

Pursuant to 35 U.S.C. § 254 and 37 C.F.R. § 1.322, Applicant requests the issuance of a Certificate of Correction in the above-identified patent. A copy of PTO Form 1050 is appended. The complete Certificate of Correction involves one page.

The mistakes identified in the appended Form occurred through no fault of the Applicant, as clearly disclosed by the records of the application, which matured into this patent. Enclosed for your convenience is a copy of the Amendment filed February 24, 2010.

Issuance of the Certificate of Correction containing the corrections is respectfully requested. Since these changes are necessitated through no fault of the Applicant, no fee is believed to be associated with this request. Nonetheless, should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: <u>June 2, 2011</u>
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# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.: 7,816,361

DATED: October 19, 2010
INVENTOR(S): Ian Richard Matthews

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 26, Claim 1, Line 23: Please delete "-NHC(=S)" and insert -- -NHC(=O) --

Column 27, Claim 10, Line 8: Please delete "-CH2" and insert -- -CH2----

Electronic Acknowledgement Receipt			
EFS ID:	7074158		
Application Number:	10577470		
International Application Number:			
Confirmation Number:	5057		
Title of Invention:	Immuno Inhibitory Pyrazolone Compounds		
First Named Inventor/Applicant Name:	lan Richard Matthews		
Customer Number:	22907		
Filer:	Susan A. Wolffe/lynn hudgins		
Filer Authorized By:	Susan A. Wolffe		
Attorney Docket Number:	006090.00023		
Receipt Date:	24-FEB-2010		
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Time Stamp:	10:45:41		
Application Type:	U.S. National Stage under 35 USC 371		
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File Listing:							
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Amendment Copy Claims/Response to Suggested Claims	Amdt.pdf	12264776 19d5:dobi=999597Fec52c375d+99+9927 78654	no	135		

# Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filling Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. Appin. No.: 10/577,470«USSN» Amendment dated February 24, 2010 Reply to Office Action of November 25, 2009

# PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	) Confirmation No. 5057
Ian Richard Matthews	) Group Art Unit: 1626
Serial No: 10/577,470	) Examiner: SAEED, Kamal A.
Filed: January 11, 2007	) Docket No. 007500.00007
For: Immuno Inhibitory Pyrazolone Compounds	) )

## AMENDMENT

U.S. Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In response to the Office Action mailed November 25, 2009, please amend the instant application as follows:

Amendments to the Claims are reflected in the Listing of Claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

Please charge any fees due or credit any overpayments to Deposit Account No. 19-0733.

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This listing of claims will replace all prior versions, and listings, of claims in the application: Listing of Claims:

 (Currently Amended) A compound of formula (IA) or (1B) or a pharmaceutically or veterinarily acceptable salt thereof:

wherein

Ar represents an optionally substituted monocyclic or bicyclic aromatic or heteroaromatic group having from 5 to 10 ring atoms,

R<sub>1</sub> and R<sub>2</sub> independently represent H, or C<sub>1</sub>-C<sub>6</sub> alkyl;

 $R_3$  represents H; F; Cl; Br; -NO<sub>2</sub>; -CN;  $C_1$ -C<sub>6</sub> alkyl optionally substituted by F or Cl; or  $C_1$ -C<sub>6</sub> alkoxy optionally substituted by F;

 $R_4$  represents a carboxylic acid group (-COOH) or an ester thereof, or -C(=0)NR<sub>6</sub>R<sub>7</sub>, -NR<sub>7</sub>C(=0)R<sub>6</sub>, -NR<sub>7</sub>C(=0)OR<sub>6</sub>, -NHC(=0)NR<sub>7</sub>R<sub>6</sub> or -NHC(=S)NR<sub>7</sub>R<sub>6</sub> wherein

R6 represents H, or a radical of formula -(Alk)m-Q wherein

m is 0 or 1

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Alk is an optionally substituted divalent straight or branched  $C_1$ - $C_{12}$  alkylene, or  $C_2$ - $C_{12}$  alkenylene, or  $C_3$ - $C_{12}$  alkylene, or  $C_3$ - $C_1$ - $C_1$ - $C_2$ - $C_2$ - $C_1$ - $C_2$ - $C_2$ - $C_1$ - $C_2$ - $C_2$ - $C_1$ - $C_1$ - $C_2$ - $C_1$ - $C_1$ - $C_2$ - $C_1$ - $C_2$ - $C_1$ - $C_1$ - $C_2$ - $C_1$ - $C_1$ - $C_1$ - $C_1$ - $C_2$ - $C_1$ - $C_1$ - $C_2$ - $C_1$ -

wherein  $R_8$  represents H or  $C_1$ - $C_4$  alkyl,  $C_3$ - $C_4$  alkenyl,  $C_3$ - $C_4$  alkynyl, or  $C_3$ - $C_6$  cycloalkyl, and

Q represents H; -CF<sub>3</sub>; -OH; -SH; -NR<sub>8</sub>R<sub>8</sub> wherein  $R_8$  is defined as above, and each  $R_8$  may be the same or different, or form a ring when taken together with the nitregen to which they are attached; an ester group; or an optionally substituted aryl, aryloxy, cycloalkyl, or cycloalkyl, or cycloalkyl, or heterocyclic group; and

 $R_7$  represents H or C<sub>1</sub>-C<sub>6</sub> alkyl; or when taken together with the atom or atoms to which they are attached  $R_6$  and  $R_7$  form a monocyclic heterocyclic ring having 5, 6 or 7 ring atoms; and

X represents a bond or a divalent radical of formula  $-(Z)_n$ -(Alk)- or -(Alk)-(Z)<sub>n</sub>- wherein Z represents -O-, -S- or -NH-, Alk is as defined in relation to  $R_6$  and n is 0 or 1.

- (Original) A compound as claimed in claim 1 wherein R<sub>1</sub> in compounds (IA) and each of R<sub>1</sub> and R<sub>2</sub> in compounds (IB) is other than hydrogen.
- (Previously Presented) A compound as claimed in claim 1 wherein R<sub>4</sub> represents a
  carboxylic acid group (-COOH) or an ester group of formula -COOR wherein R is methyl, ethyl
  n- or iso-propyl, n-, sec- or tert-butyl, or benzyl.

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- 4. (Currently Amended) A compound as claimed in claim 1 wherein R<sub>6</sub> represents a radical of formula -(Alk)<sub>m</sub>-Q wherein m is 1, Alk is -CH<sub>2</sub>-, CH<sub>2</sub>CH<sub>2</sub>-, -CH<sub>2</sub>CH<sub>2</sub>-, or CH<sub>2</sub>CH<sub>2</sub>(CH<sub>2</sub>-, or a divalent cyclopropylene, cyclopentylene or cyclohexylene radical, optionally substituted by OH, oxo, CF<sub>3</sub>, methoxy or ethoxy, and Q represents hydrogen; -NR<sub>6</sub>R<sub>8</sub> wherein each R<sub>8</sub> may be the same or different and selected from hydrogen, methyl, ethyl, n- or isopropyl or tert-butyl; a methyl, ethyl or benzyl ester; or an optionally substituted phenyl, phenoxy, cyclopentyl, or cyclohexyl, furyl, thienyl, piperidyl, or piperazinyl-group.
- 5. (Currently Amended) A compound as claimed in claim 1 wherein R<sub>7</sub> represents hydrogen, methyl, ethyl, n- or iso-propyl, n-, sec- or tert-butyl; or when taken together with the atom or atoms to which they are attached R<sub>6</sub> and R<sub>2</sub> form a monocyclic heterocyclic ring having 5, 6 or 7 ring atoms;
- (Previously Presented) A compound as claimed in claim 1 wherein Ar is optionally substituted phenyl, 2-, 3-, or 4-pyridyl, 2-, or 3-furyl, 2-, or 3-thienyl, benzfur-2-yl, or benzthien-2-yl.
- 7 (Previously Presented) A compound as claimed in claim 1 wherein Ar is substituted by F, Cl, methyl, methoxy, or methylenedioxy.
- (Previously Presented) A compound as claimed in claim 1 wherein Ar is 3-fluorophenyl, or 2- or 3-furyl.
- (Previously Presented) A compound as claimed in claim 1 wherein R<sub>3</sub> is H, F, Cl, methyl, methoxy, or methylenedioxy.
- (Previously Presented) A compound as claimed in claim 1 wherein X is a bond, or a -CH<sub>2</sub>- or -CH<sub>2</sub>CH<sub>2</sub>- radical.

11. (Currently Amended) A compound as claimed in claim 1 which is of formula (IC) or a pharmaceutically or veterinarily acceptable salt thereof:

- 12. (Original) A compound as claimed in claim 11 wherein the radical  $-C(=O)NR_6R_7$  is in the 4-position of the phenyl ring.
- 13. (Previously Presented) A compound as claimed in claim 11 wherein R<sub>7</sub> is hydrogen and R<sub>6</sub> is -AlkNR<sub>8</sub>R<sub>8</sub> wherein the R<sub>8</sub> groups are as defined in claim 1.
- 14. (Previously Presented) A pharmaceutical or veterinary composition comprising a compound as claimed in claim 1 together with a pharmaceutically or veterinarily acceptable excipient or carrier.
- (Previously Presented) A composition according to claim 14 wherein the compound is in an amount effective for treatment of conditions which benefit from immunomodulation.
- 16. (Currently Amended) A medicament for the treatment of conditions which benefit from immunomodulation comprising an effective amount of the compound according to claim 1 together with a pharmaceutically or veterinarily acceptable excipient or carrier; wherein the conditions are selected from rheumatoid arthritis, psoriasis, multiple sclerosis, and diabetes.
- 17. (Canceled)

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REMARKS/ARGUMENTS

The office action of November 25, 2009 has been carefully reviewed and these remarks

are responsive thereto. Reconsideration and allowance of the instant application are respectfully

requested. Claims 1-16 remain in this application. Claim 17 has been canceled without prejudice

or disclaimer.

Affirmation of Restriction Requirement

Applicants confirm the election of claims 1-16. Claim 17 has accordingly been canceled.

Claim 1 has also been amended in view of the elected species. The only remaining heterocyclic

groups or heteroaromatic groups are those in the position of the aryl group at the 2, 5-dihydropyrazol moiety in the compound of formula (IA) or (IB). With respect to this aryl group, in the

elected species. Ar is a 2-furyl and thus a heteroaromatic group.

Rejections under 35 U.S.C. § 112, first paragraph

Claim 16 stands rejected under 35 U.S.C. § 112, first paragraph as failing to comply with

the enablement requirement. This rejection is respectfully traversed.

Claim 16 has been amended to reflect that the conditions are selected from rheumatoid arthritis, psoriasis, multiple sclerosis, and diabetes. Support for these conditions is found in

paragraphs 75, 71, 62, and 44 of the corresponding US published application (20070213345).

The aforementioned diseases are well-known autoimmune diseases for which treatment

by the suppression of T cell activation is relevant. In T cell activation the central role is attributed

to CD80/CD28 interaction. According to the data contained in the present invention the claimed

compounds are qualified binders to CD80 (see table 1, in particular compounds 5, 9-15, 17-18,

26-27, 30, and 32-33, and paragraphs [0167], [0177] and [0178] of the homogenous time

resolved fluorescence assay (HTRF assay). In this context, reference is made to the 2nd sentence

of paragraph [0173]: "the compounds of examples 7, 11 & 18-21 have greater affinity and longer

residence times on CD80 than CD28, having K<sub>0</sub>S of less than 100 nM, and off-rates of 2\*10<sup>-2</sup>

indicating that the pyrazolones will be able to compete effectively with the endogenous ligand,"

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Therefore, the present application itself already contains convincing data that the claimed compounds are suitable for treating the autoimmune disease mentioned in amended claim 16. Furthermore, at the priority date of this application, which is November 4, 2003, it was known to the person skilled in the art that activated T cells inappropriately attack and destroy host cells of various tissues in autoimmune diseases.<sup>1</sup>

It was also known that T cell activation requires a co-stimulatory signal generated by the interaction of CD80 (a molecule on the surface of antigen-presenting cells) and CD28 (a molecule on the surface of T cells). Therefore, at the time this application was filed, it was reasonable for one skilled in the art to consider that an agent which blocks the CD80/CD28 interaction would prevent or reduce activation of T cells, thereby reducing the damage caused by activated T cells in autoimmune diseases. In fact, many research groups have explored and continue to explore agents which act to suppress T cell activation. The relevance of suppressing T cell activation for treating autoimmune diseases is confirmed by post-filing date references, such as those provided in the IDS for rheumatoid arthritis, poriasis, multiple sclerosis and type 1 diabetes.

Representative examples of such agents are HMG CoA reductase inhibitors<sup>7</sup> and tyrosine kinase inhibitors. Other examples of such agents already are on the market, such as for example anti-TNF (tumor necrosis factor) antibodies which inhibit one of the major T cell proinflammatory cyokines. Anti-TNF antibodies include infliximab (REMICADE<sup>®</sup>), a dalimumab (D2E7/HUMIRA<sup>®</sup>) and) etanercept (ENBREL<sup>®</sup>). More recently, abatacept (ORENCIA<sup>®</sup>), a protein which inhibits co-receptor signaling on T cells, has also reached the market showing good efficacy in rheumatoid arthritis. Io

The following articles are attached to this response.

' see Kobata et al., Rev. Immunogenet. 2000, 2(1), 74-80.

<sup>&</sup>lt;sup>2</sup> see Lenschow et al., Arm. Rev. Immunol. 1996, 14, 233-258, cited in par. 3 of the specification; see also Linsley et al., Exp. Med., 1991, 173, 721-730; Dubey et al., J. Immunol., 1995, 155, 45-47; and Suresh et al., J. Immunol., 2001, 167, 5565-5573.

<sup>&</sup>lt;sup>3</sup> see Cope et al., Clin. Exp. Rheumatol. 2007, 24, 4-11.

<sup>4</sup> see Chov. Curr. Rheumatol. Rep. 2007, 6, 437-441.

see Weiss et al., Neuroimmunol. 2007, 191, 79-85.

<sup>6</sup> see Mallone and Endert, Curr. Diab. Rep. 2008, 8, 101-106.

<sup>7</sup> see Brumenau et al., Clin. Immunol. 2006, 119, 1-12.

<sup>8</sup> see Appel and Brossart, Endocri. Metab. Immune Disord. Drug Targets, 2007, 7, 93-97.

<sup>9</sup> see Kristensen et al., Scan. J. Rheumatol. 2007, 36, 411-417.

<sup>10</sup> see Chitale and Moots, Expert. Opin. Biol. Ther. 2008, 8, 115-122.

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Claim 16 is in full compliance with the enablement requirement. Withdrawal of the present rejection is requested.

## CONCLUSION

It is believed that no fee is required for this submission. If any fees are required or if an overpayment is made, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

All rejections having been addressed, applicants respectfully submit that the instant application is in condition for allowance, and respectfully solicit prompt notification of the same.

Respectfully submitted, BANNER & WITCOFF, LTD.

Dated: February 24, 2010 By: /Susan A. Wolffe/

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